Introduction to the Course

311116030 DSA, Fall 2024 Hao Wang

Course overview

- What is this course all about?
- What are data structures?
- What is an algorithm?
- Example: Peak Finding

§ What are programs made of?

Programs = Data Structures + Algorithms

- § This course covers:
	- □ Data structures for efficiently storing, accessing, and modifying data
	- □ Algorithms for solving problems efficiently.
- In a nutshell,
	- ^q Binary relation from **problem inputs** to **correct outputs**

Input data Algorithms (Compiler) Output data

§ Inputs

- **□ Not general: small input instance**
	- E.g., In this room, is there a pair of students with same birthday?

General: arbitrarily large inputs

 \bullet E.g., Given any set of n, is there a pair of students with same birthday?

§ Outputs

- **□** Usually don't specify every correct output for all inputs (too many!)
- ^q Provide a verifiable **predicate** (a property) that correct outputs must satisfy ('= same').

- § Many approaches and technologies, how do we choose between them.
	- □ To design an algorithm that is easy to understand, code and debug. (No!)
	- ^q To design an algorithm that makes **efficient** use of the computers. (Yes!)
- We mostly talk about the second realm in this course.

- A solution is said to be **efficient** if it solves the problem within its resource constraints.
	- ^q Space
	- n Time
- The cost of a solution is the amount of resources that the solution consumes.
- The different choices can have huge differences in running cost.

a sequential search $(\sim s)$ vs. binary search $(\sim d)$.

Data Structures

- Data structures organize data □ to support and ground more efficient programs.
- A data structure is an implementation for an Abstract Data Type (**ADT**) .
	- An ADT is the realization of a data type, that supports a set of operations.
	- ^q A collection of operations is called an **interface**
		- Sequence: Extrinsic order to items (first, last, n th)
		- Set: Intrinsic order to items (queries based on item keys)

Abstract Data Type

- Each ADT operation is defined by its inputs and outputs.
	- **Encapsulation:** hidden from the user of the ADT.
- An ADT handle complexity through the use of **abstraction**: metaphor.
	- **□ Hierararchy of labels**
	- □ E.g., hard drive -> CPU -> computer.
- In a program, implement an ADT, then think only about the ADT, not its implementation.

Example 1.8: a simple database system

§ A typical database-style project would have a lot of interactive and recursive parts.

A program such as this:

- too complex for human programmer to handle all at once.
- implemented through use of **abstraction and metaphors**.

Data Structures Philosophy

- Each data structure has costs and benefits.
- It is hardly ever true that one data structure is better than another for use in all situations. (No Free Lunch for both costs and benefits)
- A data structure requires:
	- □ space for each data item it stores,
	- **□ time to perform each basic operation,**
	- **programming effort.**

Data Structures Philosophy (cont'd)

- Each problem has constraints on available space and time.
- Only after a thorough analysis of problem characteristics can we determine the best data structure for the task.

§ Bank **example**:

- Start account: a few minutes
- Transactions: a few seconds
- Close account: overnight

Data Structures Philosophy (cont'd)

- Data structures may implement the same interface with different performance
	- ^q e.g., an interface for items to order
		- can use a stack, queue, circular array, etc.
- Data structure (DS) vs. File structure (FS)
	- □ DS usually refers to an organization for data in main memory.
	- □ FS is an organization for data on peripheral storage, such as a disk drive.

Data Structures -- Logical vs. Physical

- § Data items have both a **logical** and a **physical** form.
- Logical form: definition of the data item within an ADT.
	- □ E.g., Integers in mathematical sense: +, -
- **Physical form: implementation of the data** item within a data structure.
	- **□ E.g., 16/32 bit integers, overflow.**

The relationship

To selecting a data structure

A **three-step** approach:

- 1. Analyze the problem to determine the basic operations that must be supported.
- 2. Quantify the resource constraints for each operation.
- 3. Select the data structure that best meets these requirements. ("simplest")

When choosing a data structure

Ask yourself **three questions**:

- 1. Are all data items inserted into the data structure at the beginning, or are insertions interspersed with other operations?
- 2. Can data items be deleted?
- 3. Are all items processed in some well-defined order, or is search for specific data items allowed?

Birthday matching

/* use array */ int birthdays[50]

```
/* Class encapsulation in C++ */
class student{
public:
  int birthdays[50];
}
```
Algorithms

• Al-Khwārizmī "al-kha-raz-mi" (c. 780-850

- **a** "father of algebra" with his book "The Compendious Book on Calculation by Compl & Balancing"
- **u** linear & quadratic equation solving: some of **the first algorithms**.

Algorithms

- § What is an Algorithm?
	- Mathematical abstraction of computer program
	- **□ Computational procedure to solve a problem**

analog

An example algorithm

- An algorithm to solve birthday matching
	- **□** Maintain a record of names and birthdays (initially empty)
	- **p** Interview each student in some order
		- If birthday exists in record, return found pair!
		- Else add name and birthday to record
	- **n** Return None if last student interviewed without success

Birthday matching – a case

```
#include <cstdlib>
#include <iostream>
using namespace std;
int main()
{
   int birthdays[50] // array
   bool matched = False;
   for(int i=1; i<50-1; i++)
   {
     for(int j=i+1; j<50; j++)
        if(birthdays[i] == birthdays[j])
          matched = True;count << "True!" << endl;
          return;
   }
}
```
Algorithms -- correctness

- Programs/algorithms have fixed size, so how to prove correct?
- For small inputs, can use case analysis
- For arbitrarily large inputs, algorithms must be **recursive** or loop in some way
	- **use induction** (why recursion is such a key concept in computer science)

Proof of correctness of birthday matching algorithm

- **Induct on k: the number of students in record**
- **Hypothesis**: if first k contain match, returns match before interviewing student $k + 1$
- **Base case**: $k = 0$, first k contains no match
- Assume for induction hypothesis holds for $k = k'$, and consider $k = k' + 1$
- **•** If first k' contains a match, already returned a match by induction
- Else first k' do not have match, so if first $k' + 1$ has match, match contains $k' + 1$
- Then algorithm checks directly weather birthday of student $k' + 1$ exists in first k'

Algorithms - efficiency

- How fast does an algorithm?
	- □ Could measure time
	- □ Idea! Count number of fixed-time operations algorithms takes to return
	- Expect to depend on size of input
	- \Box Size of input is often called 'n', but not always!
	- ^q Efficient if return in **polynomial time** w.r.t. input
	- **□** Sometimes no efficient algorithm exists for a problem!

Algorithms – efficiency (cont'd)

§ Asymptotic Notation: ignore constant factors and low order terms

□ Upper bounds (0) \in , $=$, is, order

§ Running time analysis: birthday matching

- Two loops: outer $k \in \{0, ..., n 1\}$, inner is $i \in \{0, ..., k\}$
- **■** Running time is $O(n) + \sum_{k=0}^{n-1} (O(1) + k \cdot O(1)) = O(n^2)$

□ Quadratic in *n* is **polynomial**. Could be more efficient?

To solving an algorithms problem

A **two-step** approach

- 1. Reduce to a problem you already know (use data structure or algorithm)
	- Search, sort, shortest path algorithms
- 2. Design your own (recursive) algorithm
	- **n** Brute Force
	- **Decrease and Conquer**
	- **Divide and Conquer**
	- **Dynamic Programming**
	- **n** Greedy / Incremental

Problems vs. algorithms vs. programs

- **Problem: a task to be performed**
	- Best though of as inputs and matching outputs
	- \Box e.g., sort a set of numbers
	- **p** Problem definition should include constraints on the resources that may be consumed by any acceptable solution

Problems (cont'd)

- **Problems** \Leftrightarrow **Mathematical functions**
	- **A** function is a matching between inputs (the domain) and outputs (the range)
	- ^q An input to a function may be single number, or a collection of information.
	- **n** The values making up an input are called the parameters of the function.
	- □ A particular input mush always result in the same output every time the function is computed.
- Math. functions is not exactly the same to computer programs.

Algorithms and Programs

Algorithm: a method or a process followed to solve a problem.

 \Box A recipe.

- An algorithm takes the input to a problem (function) and transforms it to the output. ^q A mapping of input to output.
- § A problem can have many algorithms.

Algorithm Properties

- An algorithm has the following five properties:
	- **q** It must be correct.
	- **q** It must be composed of a series of **concrete steps**.
	- □ There can be no ambiguity as to which step will be performed next.
	- **a** It must be composed a <u>finite</u> number of steps.
	- **q** It must terminate.
- § A computer program is an instance, or concrete representation, for an algorithm in some programming language.

Venn diagram

Conclusion

Course overview

- **a** Syllabus
- ^q Abstract data type, Data structures
- **p** Problems, Algorithms, Programs
- An example: birthday matching
	- **n** Think and solve birthday matching problem with more efficient algorithms
- Take-home-messages
	- **p** Philosophy of abstraction
	- **a** Simple but not simpler

Peak Finder

Position 2 is a peak if and only if $b \ge a$ and $b \ge c$. Position 9 is a peak if $i \ge h$.

Problem: Find a peak if it exists (Doe it always exists?)

Next week

- Math prelims (Chapter I. 2)
	- ^q Set
	- **<u>n</u>** Relations
	- **a** Functions